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2.3 Pullout tests

The average ultimate uplift capacity of the pulled out piles obtained from the static pull out tests was determined according to eight different methods.

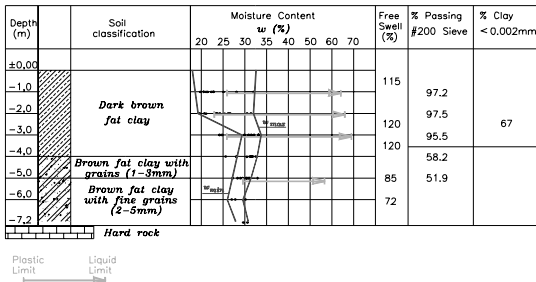


Figure 2: Test site stratigraphy

3. DETERMINATION OF EARTH PRESSURE COEFFICIENT K_0

Norlund (1963) summarized the factors affecting the frictional resistance developed between the pile shaft and the surrounding soil as a function of: soil type and its density, degree of roughness of the pile shaft (Burland, 1973) and manner of casting. For a simplifying assumption it can be argued that by pushing the pile into the clay, an inclined resultant of soil resistance can be derived as shown in Figure 4, where, P_u is the ultimate compressive load, K_δ is the earth pressure coefficient inclined by an angle (δ), δ is the friction angle between the shaft and the soil, W_p is the weight of pile, ω is the shaft inclination angle, L is the embedded length of pile and P_l is the vertical total earth pressure at depth l below ground surface. Therefore, the general formula for calculating the frictional resistance will be:

$$P_u = \sum_{l=0}^L K_\delta \cdot P_l \cdot \sin(\omega + \delta) p \cdot \Delta l \cdot \sec \omega = Q_u - W_p \quad (1)$$

Where Q_u is the ultimate uplift resistance of pile (kN), p is the perimeter of pile and $p \cdot \Delta l$ is the shaft area in slice of Δl . For conventional piles where $\omega < 1^\circ$ and the pile shaft is rough (inclined resultant $K_\delta P_l$), Equation (1) will have the form:

$$P_u = \sum_{l=0}^L K_\delta \cdot P_l \cdot \sin \delta \cdot p \cdot \Delta l \quad (2)$$

Hereinafter, P_u will be defined as the ultimate pull out load which is equal to the ultimate uplift capacity (Q_u) minus the weight of the pile (W_p) and the uplift capacity at the pile toe (Q_w). The resultant of the earth pressure during uplift force (K_p) has two components according to the following analysis:

$$P_{uv} = K \cdot P_l \cdot \sin \delta \quad (3)$$

and

$$P_{uh} = K \cdot P_l \cdot \cos \delta \quad (4)$$

Equation (2) could be derived in an approach according to the classical definition of shearing resistance. From the simple condition of vertical equalization of the forces acting along the pile shaft:

$$P_u = \sum_{l=0}^L \tau_f \cdot p \cdot \Delta l \quad (5)$$

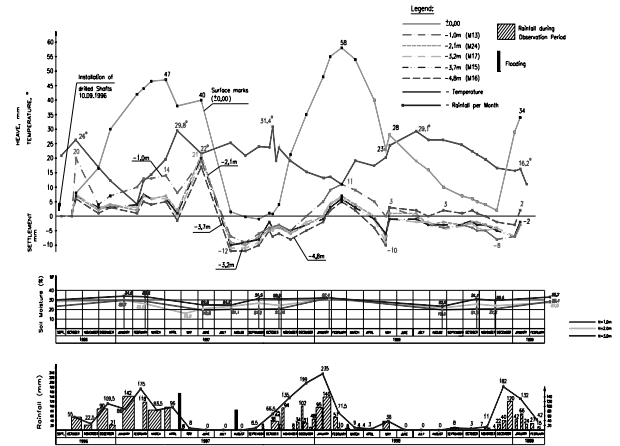


Figure 3: Vertical movements in clay subsoil and ground surface

Where τ_f is the shearing resistance at failure between the soil and the pile shaft. By substituting :

$\tau_f = \sigma_v \cdot \tan \delta + C_a$ and $\sigma_v = K P_l \cdot \cos \delta$, then Equation (5) will be:

$$P_u = \sum_{l=0}^L p \cdot \Delta l (k \cdot p_l \cdot \sin \delta + C_a) \quad (6)$$

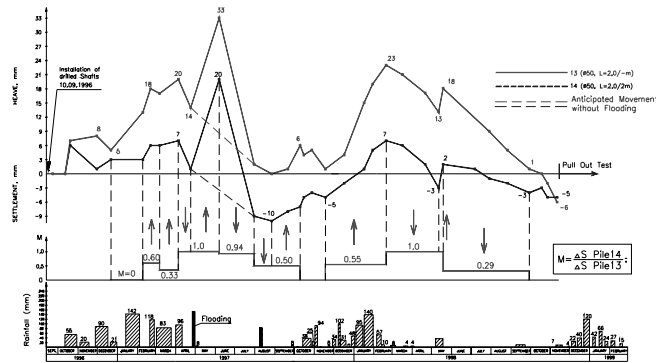


Figure 4: Vertical movements of pile 13 (uncoated) and pile 14 (coated) as a function of rainfall

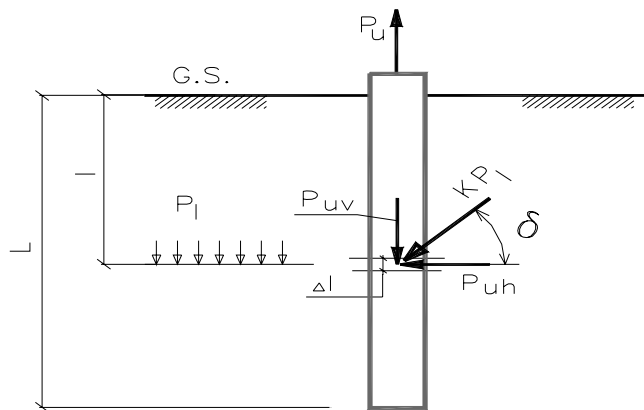


Figure 5: Forces on a rough shaft due to uplift load

Table 1: Comparison between K- Values of pulled out unsleeved, sleeved and lubricated piles.

Pile No.	Dimensions		K_u	K_{ut}	K_s	K_{st}	K_l	K_{lt}
	L (m)	D (m)						
17,18,19	2.0	0.50	1.92	1.86	1.54	1.31	1.46	1.24
20,21,22	2.0	0.60	1.09	1.02	0.70	0.43	0.88	0.63
23,24,25	2.0	0.70	1.76	1.68	0.28	-	0.50	0.20

Hence, Equation (2) is a special case of $C_a=0$. Equation (6) shows an approach for estimating the earth pressure coefficient (K) by taking the adhesion into account. By considering a fully rough vertical pile shaft ($\omega = 0$) obtained in this work and the double direct shear test results ($C_a=15 \text{ kN/m}^2$, $\delta=45^\circ$), the resultant ($K_s \cdot P_1$) will act theoretically on the concreted pile shaft at an interface friction angle of $\delta = 45^\circ$ (Figure 4). The shear parameters obtained from two simple direct shear tests and one double direct shear test give an internal friction angle of 38° and an adhesion of 15 kN/m^2 . Therefore, It could be assumed that the earth pressure resultant KP_L will act at an interface friction angle of 38° . Hereinafter, the calculations of the K-values for the unsleeved, sleeved and lubricated piles will be derived from the pull out tests. The tension resistance at the pile toe will be taken into account in order to check its affect on the K-values. Table 1 summarizes the various K- values of various types of unsleeved, sleeved and lubricated piles. From the above results it can be concluded that the earth pressure coefficient decreased by increasing the smoothness degree of the pile shaft.

This approach does not take into account the adhesion (C_a) between the pile and the surrounding clay. By exerting a pullout load instead of a compressive load, the frictional resistance terms will be as shown in Figure 5.

For example, K_u for the unsleeved pile 17 is greater by 25% and 32% from that of the sleeved pile 18 (k_s) and the lubricated pile 19 (k_l) respectively. The critical quoted decrease in the earth pressure coefficient is obtained by increasing the pile diameter. For example, the earth pressure coefficient for the lubricated pile 25 ($D=0.70\text{m}$) is smaller by 72% than the same unsleeved pile (pile 23). The decrease in the K_s and K_l -values by considering the tension resistance at the pile toe which reaches 89%, cannot be neglected because their great contribution in decreasing frictional uplift forces such as swelling forces which are the crux and the aim of this work. The same conclusion was obtained by Amir (1976), Sowa (1970) and Kulhawy (1985). The earth pressure coefficient K is not only a function of the original in-situ earth pressure coefficient at rest (K_0), the stress changes caused by construction, loading and time as mentioned by Kulhawy (1991) and it is not a function of smoothness / roughness of the pile shaft only as mentioned by Burland (1973) and by Norlund (1963), but it is also a function of the pile diameter. This finding must be connected to the fact that all piles are installed in swelling/shrinking clay.

4 CONCLUSION

From this study it was possible to conclude the following: Provision of sleeves minimizes the amount of reinforcement in the pile due to the strong reduction of the heave forces. The sleeve acts as a protection against the concrete entering cracks in the soil, which minimize the contact between the pile and the soil and hence minimize the heave/dragdown forces. Results have shown that the displacement necessary to mobilize the maximum shaft resistance of the uncoated piles ranges between 0.7% and 0.8% of the shaft diameter. By the coated and lubricated piles the displacement to mobilize the maximum side resistance decreased by 90%. It ranges between 0.07 and 0.08% of the shaft diameter. Results from the pull tests have shown that the displacement between the sleeves occurred at friction/side resistance of only 10 kN/m^2 . The frictional resistance of a bored concrete pile in medium to hard clays is about half the average undisturbed shear strength of the clay along the pile shaft. The butt displacement to mobilize the maximum tip resistance was found to be in the range of 2-3% of the shaft diameter. Criteria for establishing the ultimate pull out load has to be the load which causes a heave of 0.5-1.0% of the pile diameter.

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